



I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

<u>09/217,116</u>	<u>12/21/98</u>	<u>Pending</u>
(Application Serial No.)	(Filing Date)	(Status-patented, pending, abandoned)

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Louis M. Heidelberger (Reg. No. 27,899); Mary E. Buckles (Reg. No. 31,907); Daniel H. Golub (Reg. No. 33,701); and John W. Goldschmidt, Jr. (Reg. No. 34,828); Thomas J. McWilliams (Reg. No. 44,930); and Ethan D. Civan (Reg. No. 44,459).

Address all telephone calls to Daniel H. Golub at telephone number (215) 851-8268.

Address all correspondence to Daniel H. Golub  
 REED SMITH SHAW & McCLAY LLP  
 2500 One Liberty Place  
 Philadelphia, PA 19103-7301

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Signature

 Date 12/20/99

Full Name of sole or first inventor  
 Residence:

Roland R. Thompson  
2 Dawn's Way  
Malvern, Pennsylvania 19355

Post Office Address:  
 Citizenship:

Same  
USA

Inventor's Signature

 Date 12/20/99

Full Name of second inventor  
 Residence:

Michael S. Blackstone  
1503 Burgoyne  
Downingtown, Pennsylvania 19355

Post Office Address:  
 Citizenship:

Same  
USA

ATTORNEY DOCKET NO. 98-40287-US-CIP



PATENT

Applicant or Patentee: Roland R. Thompson and Michael S. Blackstone

Application or Patent No.: 09/419,266

Filed or Issued: 10/15/99

For: System and Method for Performing Substitute Fulfillment Information Compilation and Notification

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27(b)) --INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor, as defined in 37 CFR 1.9(c), for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office, with regard to the invention entitled System and Method for Performing Substitute Fulfillment Information Compilation and Notification described in

- ☐ the specification attached hereto.
- ☒ application no. 09/419,266, filed 10/15/99.
- ☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_.

I have not assigned, granted, conveyed or licensed, and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c), if that person had made the invention, or to any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern, or organization.
- ☐ persons, concerns or organizations listed below\*

NOTE: *Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)..*

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

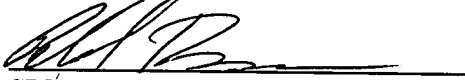
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Roland R. Thompson

Name Of Inventor

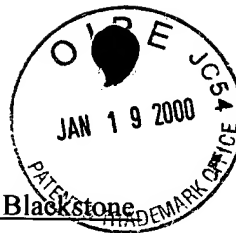


**SIGNATURE OF INVENTOR**

Date

12/20/99

ATTORNEY DOCKET NO. 98-40287-US-CIP



PATENT

Applicant or Patentee: Roland R. Thompson and Michael S. Blackstone

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NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

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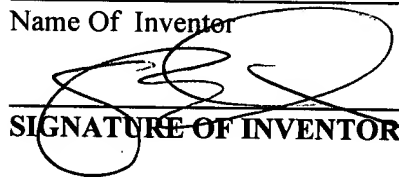
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Michael S. Blackstone

Name Of Inventor

  
~~SIGNATURE OF INVENTOR~~

Date

12/20/99